

# List Access Problem (TIMESTAMP and COMB)

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# Overview

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## Preliminaries (Full Cost Model)

Accessing  $i^{\text{th}}$  element in the list costs  $i$ . For example, accessing the first element costs 1.

## Preliminaries (Partial Cost Model)

Accessing  $i^{\text{th}}$  element in the list costs  $i - 1$ . For example, accessing the first element costs 0.

# Preliminaries (Pairwise Property)

## Lemma

*If ALG is  $c$ -competitive on a request sequence in the partial cost model, then ALG is  $c$ -competitive in the full cost model.*

$$ALG_f(\sigma) = ALG_p(\sigma) + m$$

$$ALG_p(\sigma) \leq c \cdot OPT_p(\sigma) + \alpha$$

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## Preliminaries (Pairwise Property)

- Let  $ALG^*(x, j) = 1$  if  $x$  precedes the element  $\sigma_j$  in the list on the  $j^{\text{th}}$  request and 0 otherwise.
- $ALG^*(x, j)$  could be thought of as the charge on element  $x$  for impeding access to element  $\sigma_j$  in the partial cost model.

If the request sequence  $\sigma$  has length  $m$  and  $L$  is the set of elements in the list, then using  $ALG^*$  we can write the total cost of  $ALG, x$

$$ALG(\sigma) = \sum_{j=1}^m \overbrace{\left( \sum_{x \in L} ALG^*(x, j) \right)}^{\text{Access cost for } j^{\text{th}} \text{ request}}$$

$$ALG(\sigma) = \sum_{x \in L} \sum_{j=1}^m ALG^*(x, j)$$

## Preliminaries (Pairwise Property)

$$ALG(\sigma) = \sum_{x \in L} \sum_{y \in L} \sum_{j | \sigma_j = y} ALG^*(x, j)$$

$$ALG(\sigma) = \sum_{\{x, y\} \subseteq L} \sum_{j | \sigma_j \in \{x, y\}} (ALG^*(x, j) + ALG^*(y, j))$$

For each pair of elements  $x$  and  $y$ , we compute the cost due to  $x$  impeding access to  $y$ , and the cost due to  $y$  impeding access to  $x$ . Because this is in the partial cost model, one of  $ALG^*(x, j)$ ,  $ALG^*(y, j)$  is always zero!

## Preliminaries (Pairwise Property)

Let  $ALG_{xy}(\sigma) = \sum_{j|\sigma_j \in \{x,y\}} (ALG^*(x,j) + ALG^*(y,j))$ . Then the cost of ALG simplifies to,

$$ALG(\sigma) = \sum_{\{x,y\} \subseteq L} ALG_{xy}(\sigma)$$

Let  $\widetilde{OPT}(\sigma_{xy})$  be the cost of an optimal offline algorithm that serves the request sequence  $\sigma_{xy}$  on two item list.

$$OPT_{xy}(\sigma) \geq \widetilde{OPT}(\sigma_{xy})$$



## Preliminaries (Pairwise Property)

Here  $ALG(\sigma_{xy})$  be the cost of ALG on the two element list of  $x$  and  $y$  over the arbitrarily long sequence of requests to  $x$  and  $y$  from  $\sigma$ . In other words, if we project the list and the request sequence onto the items  $x$  and  $y$  (i.e. remove everything else from the list and  $\sigma$ ), then  $ALG(\sigma_{xy})$  is the cost of ALG on the projected list and request sequence.

### Pairwise Property:

$$ALG_{xy}(\sigma) = ALG(\sigma_{xy})$$

## Preliminaries (Pairwise Property)

### Lemma

*An algorithm satisfies the pairwise property if and only if for every request sequence  $\sigma$ , when ALG serves  $\sigma$ , the relative order of every two elements  $x$  and  $y$  in the list is the same as their relative order when ALG serves  $\sigma_{xy}$ .*

## Preliminaries (Competitiveness)

For an ALG to be  $c$ -competitive, it suffice to show that,

$$ALG_{xy}(\sigma) \leq c \cdot \widetilde{OPT}_{xy}(\sigma_{xy})$$

Similarly, for randomized algorithms,

$$E[ALG_{xy}(\sigma)] \leq c \cdot E[\widetilde{OPT}_{xy}(\sigma_{xy})]$$

# **TIMESTAMP(0)**

On a request for item  $x$  in the list, **TIMESTAMP(0)** moves  $x$  directly in front of the first item in the list that was accessed at most once since the last request for  $x$ . If there is no such item, or  $x$  has not been requested before, do nothing.

# Pairwise Property of **TIMESTAMP(0)**

## Lemma

*After the **TIMESTAMP(0)** algorithm has served a request sequence  $\sigma$ , element  $x$  is before element  $y$  if and only if the sequence  $\sigma_{xy}$  terminates in the subsequence  $xx$ ,  $xyx$  or  $xyy$ , or if  $x$  was before  $y$  initially and  $y$  was requested at most once.*

# Pairwise Property of TIMESTAMP(0)

(  $\implies$  )

- Notice that in the cases where  $\sigma_{xy}$  ends in  $xx$  or  $xyx$ ,  $y$  is requested at most once between the final two  $x$ 's. Therefore,  $x$  must be moved in front of  $y$  at the end.
- If  $\sigma_{xy}$  ends in  $xyx$ , then  $x$  is requested twice in a row, which moves it in front of  $y$ , and at least twice between the final two  $y$ 's in the sequence, if there are two. Therefore, the final request to  $y$  does not move it in front of  $x$ , and so  $x$  is before  $y$ .
- If  $y$  is requested at most once, then it will not be moved in front of  $x$  ever, and so if  $x$  starts before  $y$ , it will also end before  $y$ .

# Pairwise Property of TIMESTAMP(0)

( $\Leftarrow$ )

- If element  $x$  is before element  $y$  in the list after  $\text{TIMESTAMP}(0)$  services  $\sigma_{xy}$ , then one of two things must have happened: either , or
  - $y$  was requested at most once between the final two requests for  $x$ , or
  - if there were fewer than 2 requests for  $x$ , then  $x$  started before  $y$  and there were no more than 1 request for  $y$  in the sequence.

So we see that  $x$  ending before  $y$  implies that  $\sigma_{xy}$  ends in  $xx$ ,  $xyx$ , or  $xyy$ , or contains at most one  $y$ , with  $x$  starting before  $y$  in the list. This concludes the proof.

# COMB

With probability  $\frac{4}{5}$  serve the request sequence with BIT, with probability  $\frac{1}{5}$  serve the request sequence with TIMESTAMP(0).



# COMB

## Theorem

*COMB is  $\frac{8}{5}$ -competitive against oblivious adversaries.*

## COMB

**Lemma 3.** *Suppose that BIT has served the request sequence  $xyx$ , or the sequence  $yx$  on a list where initially  $x$  preceded  $y$ . Then  $x$  is in front of  $y$  with probability  $3/4$ .*

**Proof.** We show that after BIT has served either sequence, item  $y$  is in front of  $x$  if and only if the bit of  $x$  is 0 and the bit of  $y$  is 1: Namely, if the bit of  $x$  was set to 1 at the last request to  $x$ , then  $x$  was moved to the front. Otherwise,  $x$ 's bit is 0, so the bit was set to 1 at the preceding request to  $x$  (in the sequence  $xyx$ ) and  $x$  is front of  $y$  at the time of the request to  $y$  (which holds by assumption for the sequence  $yx$ ). Thus,  $y$ 's bit must have been set to 1 after the request to  $y$  to move  $y$  in front. The bits of both items are independent, so  $y$  is in front of  $x$  with probability  $1/4$ . □

# COMB

**Lemma 4.** *In the initial list of two items, let  $x$  be in front of  $y$ . The following table describes the expected cost for serving the indicated request sequences, where  $l \geq 0$  and  $k \geq 1$ , by the algorithms *BIT*, *TS*, and  $\overline{OPT}$ .*

<i>request sequence</i>	<i>BIT</i>	<i>TS</i>	$\overline{OPT}$
$x^l y y$	$\frac{3}{2}$	2	1
$x^l (y x)^k y y$	$\frac{3}{2}k + 1$	$2k$	$k + 1$
$x^l (y x)^k x$	$\frac{3}{2}k + \frac{1}{4}$	$2k - 1$	$k$



# Thank You